#### LEASE TRANSFER APPLICATION

1. LEASE. Identify the lease to be transferred.

Acronym of Lease Proposed for Transfer	DAM CC3
Date Lease Expires	June 25, 2033
Town	South Bristol, ME
Water Body	Clarks Cove, Damariscotta River
Acreage	3.58
Authorized Species	Saccharina latissima, Laminaria angustissima, Alaria esculenta, Laminaria digitata, Agarum cribrosum, Palmaria palmata, Porphyra umbilicalis, Chondrus crispus, Ulva lactuca, Gracilaria tikvahiae, Chorda tomentosa, Agardhiella tenera, Desmerestia viridis, Scytosiphon lomentaria, and Petalonia fascia
Army Corps of Engineers Authorization Number	NAE-2016-00071
Payment Type	☐ Credit Card

**2. TRANSFEROR.** Identify the present lessee (*if multiple lessees, provide information for each one; use a separate sheet if necessary*).

Applicant	Maine Fresh Seafarms, LLC
Contact Person	Carol Fischer representing Peter Fischer
Address	256 Lower Round Pond Road
City	Bristol
County	Lincoln
State, Zip	Maine 04539
Country	USA
Telephone	207-563-8086
Email	cajackson58@gmail.com

**Note:** The email address you list here will be the primary means by which we will contact you. Please provide an email address that is checked regularly. If you do not use email, please leave this blank.

#### 3. PAST USE OF LEASE

Has this lease been used for aquaculture during its term?	⊠ Yes	□ No
A. If "Yes", describe the aquaculture activities, including but not lim cultivation, or harvest of organisms.	ited to see	ding,
Lease site has been actively used in past years for the cultivation of sugar purchased from Springtide Seaweed as seedlines and outplanted in Octo year. Deployment of seed lines is accomplished in a small runabout vess hours with two people to set out ten seed lines. Seed lines are typically at the surface waters. Kelp lines are monitored for growth every few weeks winter and spring months until harvest time in April. Harvest is accomplished at a time up to the surface along side the harvest vessel (24' catama fronds are cut free from the long line. Seaweed is landed in Clarks Cove wharf owned by Thomas Myers.	ber/Nove el and requations bout 5-6 for sthroughous shed by purine) wher	mber of each uires about 4 eet below out the ulling one e the kelp
B. If "No", explain why no aquaculture has been conducted.		

**4. TRANSFEREE.** Identify the proposed transferee (*if multiple transferees, provide information for each one; use a separate sheet if necessary*).

Applicant	Pemaquid Oyster Company, Inc.
Contact Person	Chris Davis
Address	PO Box 302
City	Waldoboro
County	Lincoln
State, Zip	Maine 04572
Country	USA
Telephone	207-832-1075
Email	Chrisopther.v.davis@gmail.com
Transfer Type	☐ Include transferee on lease with current leaseholder ☐ Transfer entire lease to transferee

**Note:** The email address you list here will be the primary means by which we will contact you. Please provide an email address that is checked regularly. If you do not use email, please leave this blank.

## **5. TRANSFEREE'S CURRENT LEASE(S).** List all Maine aquaculture leases now held by the transferee(s).

Lease Acronym	Expiration  Date	Town	Water Body	Acreage	Authorized Species
DAM GL2	2/18/43	Newcastle	Damariscotta River	1.83	Oyster Eastern Oyster, European Flat, Sea scallop
DAM GL3	9/1/26	Newcastle/Damariscotta	Damariscotta River	10.14	Oyster Eastern, Oyster European Flat
DAM GL4	7/9/28	Newcastle/Damariscotta	Damariscotta River	8.08	Oyster Eastern Oyster, European Flat, Clam Northern Quahog / Hard, Clam Surf / Hen, Clam Razor Atlantic

#### 6. RIPARIAN LANDOWNERS AND SITE ACCESS

A. If the lease is within 1,000 ft of shorefront land (which extends to mean low water or



# STATE OF MAINE DEPARTMENT OF MARINE RESOURCES 21 STATE HOUSE STATION AUGUSTA, MAINE 04333-0021

PATRICK C. KELIHER
COMMISSIONER

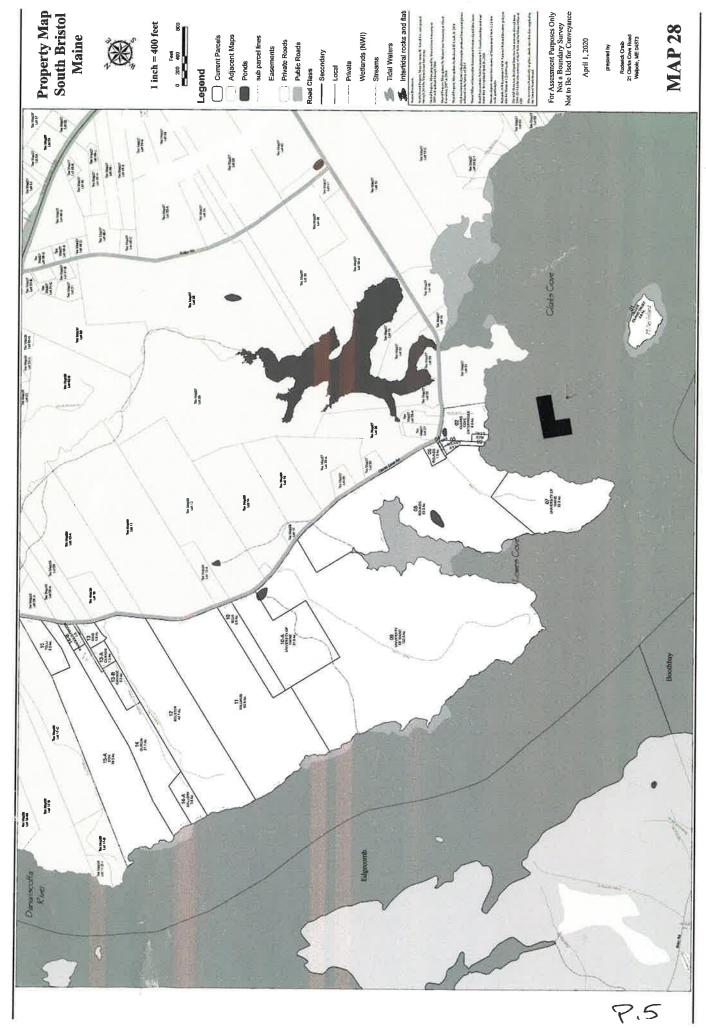
#### RIPARIAN OWNERS LIST -

#### THIS LIST MUST BE **CERTIFIED** BY THE TOWN CLERK

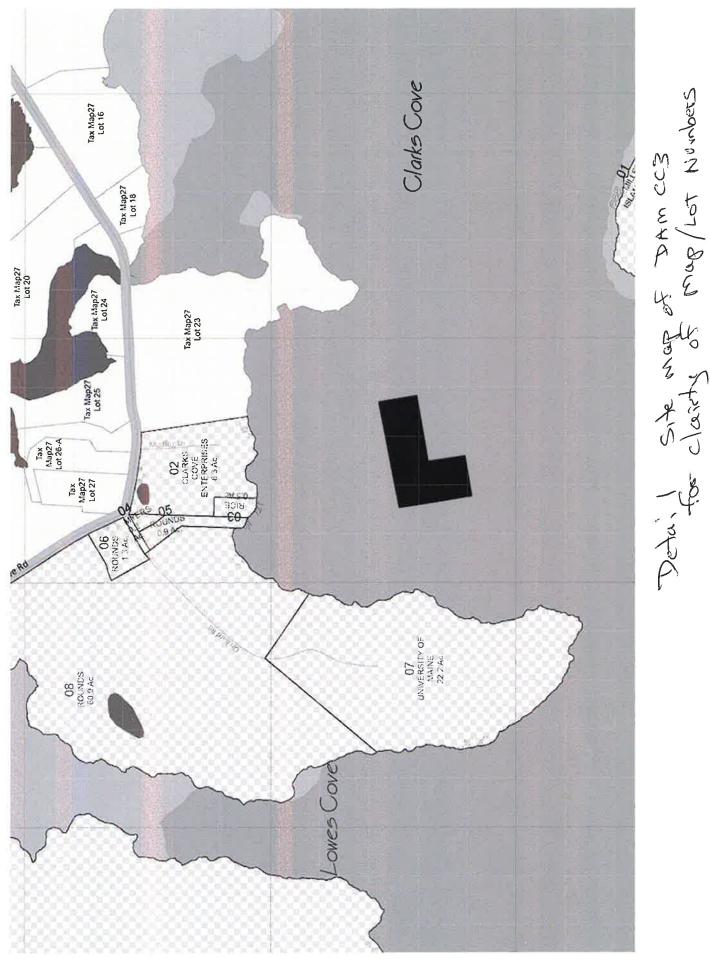
On this list, please include the map number, lot number, and the current owners' names and mailing addresses for all shorefront parcels within 1,000 feet of the lease site. It is the applicant's responsibility to assemble the information for the Town Clerk to certify. The Town Clerk <u>only</u> certifies that the information is correct according to the Town's records. Once you have completed the form, <u>ask the Town Clerk to complete the certification section below.</u> If riparian parcels are located within more than one municipality, provide a separate, tax map and certified riparian list for each municipality.

TOWN OF:	South Bristol	

MAP#	LOT #	Landowner name(s) and address(es)
28	02	CLARKS COVE ENTERPRISES, LLC
		C/O THOMAS M. MYERS
		P.O. BOX 78
		WALPOLE ME 04573
27	23	BRUNNER, DIRK R AND BRUNNER, LINDA D
		331 CLARKS COVE ROAD
		WALPOLE ME 04573
28	08	ROUNDS, JOHN & PEIER REV TRMCVICKAR, JOAN
		7 ORCHARD DRIVE
		WALPOLE ME 04573
28	05	ROUNDS, JOHN ROUNDS, ATHAR
		7 ORCHARD DRIVE
		WALPOLE ME 04573
28	03	RICE, DAVID
		P.O. BOX 15
		WALPOLE ME 04573
<u>28</u>	<u>07</u>	UNIVERSITY OF MAINE DARLING MARINE CENTER
		C/O TIM MILLER
		193 CLARKS COVE ROAD
		WALPOLE ME 04573



General Site map of DAM CC3 in black.



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#### 7. TECHNICAL CAPABILITY OF THE TRANSFEREE

Provide information regarding professional expertise. For each transferee, attaching a resume or documentation of practical experience necessary to undertake aquaculture activities would satisfy this requirement.

Pemaquid Oyster Company (Principals: Carter Newell, Jeffrey McKeen & Chris Davis) has been actively conducting various forms of aquaculture since 1986. The three equal partners have operated Pemaquid Oyster Company, Inc. as a profitable, commercial venture since its inception. The company annually employs 6-7 people.

Newell's aquaculture experience began in the early 1980s where he served as Director of R&D for the Great Eastern Mussel Farms, Tenants Harbor, ME until it's closing in the mid 2000's. He formed Pemaquid Mussel Company in the mid 2000's and had successfully farmed mussels in various Maine locations since then. In past years, Newell has farmed sugar kelp on an LPA in Clarks Cove adjacent to DAM CC3. Newell holds a PhD in an aquaculture subdicipline.

Davis has taught, researched and conducted seaweed and shellfish farming since the mid 1980's. Since, 2004 he has served as Executive Director of the Maine Aquaculture Innovation Center. Davis hold a PhD in an aquaculture related dicipline. Davis serves on the DMR Aquaculture Advisory Council. Davis has served as a director for the Maine Aquaculture Association since 2001. He also served as a director of the East Coast Shellfish Growers Association from 2009-2014.

McKeen has been an active aquaculture farmer since the late 1980's. He serves on the DMR Shellfish Advisory Council.

#### 8. FINANCIAL CAPABILITY OF THE TRANSFEREE

A. Please provide a letter from a financial institution indicating the applicant has an account in good standing.

**Note:** Any financial information you submit with your application is part of the public record. Please exercise discretion when submitting financial information.

See attached letter from Crystal Spear of Camden National Bank.

B. Please provide cost estimates of the aquaculture activities the transferee plans to conduct on this lease.

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November 13, 2023

To Whom It May Concern,

Pemaquid Oyster Co, Inc has been a "good standing" customer of Camden National Bank since 1990. All accounts have been managed "appropriately and as agreed".

Best- Crystal

**Crystal Spear/ Vice President** 

Waldoboro & Thomaston Banking Center Manager

cspear@camdennational.bank

207-790-2602 (o)

207-542-6951 (m)

NMLS # 712993

Schedule Appointment



Annual costs:

Seed spools:  $$150/\text{spool} \times 20 = $3,000$ 

Spare line/buoys etc \$1,000

Fuel \$500 Labor \$3,000 Marketing \$500

Lease fees and licenses: \$500

#### 9. BIRD DETERRENT

Suspended culture gear can attract birds that roost on the gear and defecate, potentially creating a pollution source impacting shellfish held within the gear. In order to comply with the National Shellfish Sanitation Program (NSSP) Model Ordinance (MO), DMR is requiring that all applications involving the suspended culture of shellfish include a description of mitigation or deterrent measures to minimize the potential pollution impacts of birds at the proposed site. If appropriate, include sketches or photos that clearly depict those measures put into practice.

#### Examples may include:

- Submerging suspended gear and associated product at a depth sufficient to deter roosting for two weeks before harvest
- Attaching physical deterrents (i.e. zip ties) to gear
- The site is proposed for the culture of seed only
- The site is proposed for the culture of adductor-only scallops (i.e. no other shellfish species would be grown on the site)
- Proposed gear would always be suspended below the surface of the water at a depth sufficient to deter roosting (i.e. as is common for scallop lantern nets)

Given that the use of the lease will be for submerged longlines to grow the kelp, bird deterrents will not be necessary.

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#### 9. FORM OF OWNERSHIP

Corporations or Partnerships. Complete the following steps if any transferees are organized as corporations or partnerships, including LLCs.

1. Include a copy of corporate filing information with this application

n See attached int See attached

2. Complete and submit with this application the "Corporate Applicant Information Document" available at:
<a href="http://www.maine.gov/dmr/aquaculture/forms/standard.html">http://www.maine.gov/dmr/aquaculture/forms/standard.html</a>

Rev 02/13/2023



# Department of the Secretary of State Bureau of Corporations, Elections and Commissions

Corporate Name Search

#### **Information Summary**

Subscriber activity report

This record contains information from the CEC database and is accurate as of: Wed Feb 07 2024 14:30:26. Please print or save for your records.

Legal Name	Charter Number	Filing Type	Status
PEMAQUID OYSTER COMPANY, INC.	19901010 D	BUSINESS CORPORATION	GOOD STANDING
Filing Date	Expiration Date	Jurisdiction	
11/29/1989	N/A	MAINE	
Other Names		(A=Assumed ; F=	Former)
NONE			
Principal Home O	ffice Address		
Physical		Mailing	
Clerk/Registered	Agent		
Physical		Mailing	
MICHAEL B. PEISNER ONE CANAL PLAZA SUITE 1000		MICHAEL B. PEIST P. O. BOX 7320	NER
PORTLAND, ME 04101		PORTLAND, ME 04	1112

For Use By The Secretary of State

For Use By The Secretary of State

File By The Secretary of State

File No. 19901010D

For Use By The Secretary of State

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34	and it is located in M	alue, at	RFD #1, 1	30x 149,	Damar	iscotta,	ME 04543
SECOND:	The name of its Cleri				Te	a 0 *	•
⊕ ⊗ 35			. Howard				
	Street & Number			Main St	reet	e e in	
	City	Damar	iscotta		- 2	, Maine	04543
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	("X" one box only)						The second secon
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19			0 2	10			directors, (\$

Deputy Secretary of State

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,ii		Number of shares authoriz	A CHARLES NOW NO. 10 TO		<del>2</del>
	e 11	There shall be two or more classes of	strucs.		(4)
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		K.	SUMMARY		
<b>34</b> 8	*** 18	The aggregate par value of all author	ized shares (of all classes) having a	par value is \$	
\$1		The total mumber of authorized share	s (of all classes) without par value	is250	sliare
	SIXTH:	("X" one box only)	era v G	a si	å
	14	Meetings of the shareholders may X	Y may not 🗆 be held outside th	e State of Maine.	
	SEVENTI:	("X" If applicable) There are no pro-	complive rights. 🛘		* *
	EIGHTH:	Other provisions of these articles, if a	my, including provisions for the re	guiation of the internal aff	airs of th
		corporation, me set out in fixfilbit	attached hereto	and made a part hereot.	
e e : कुंग्डलक्रीयाचे	norement		attached hereto	and made a part hereot.	
ATED.		r 16, 1989		E ADDRESSES	
DATED	INCO Robert Col	r 16, 1989 PRIORATORS		E ADDRESSES	
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of incorporation must be accompanied by a certificate of an appropriate officer of the corporation certifying that the person

executing the articles on behalf of the cosporation was duly authorized to do so.

("X" one box only)

MFTH:



#### MAINE DEPARTMENT OF MARINE RESOURCES

Aquaculture Division, 21 State House Station, Augusta, ME 04333-0021 (207) 624-6567

### CORPORATE APPLICANT FORM For Standard and Experimental Aquaculture Lease Applications

Corporations or partnerships that apply for aquaculture leases in the State of Maine must complete this form. Corporations must submit information as requested under <u>A. Corporate</u> <u>Applicant</u>. Partnerships must submit information as requested under <u>B. Partnership Applicant</u>.

#### A. Corporate Applicant

**Note:** You must attach a copy of the Articles of Incorporation (Inc.) or Certificate of Formation (LLC) to your application.

1. Name of Corporation: Pemaquid Oyster Company, Inc.

2. Date of incorporation: Nov. 29, 1989 State of incorporation: Maine

3. List the names, addresses, and titles of all officers:

Name	Address	Title
Carter R Newell	36 Pond Street, Bucksport, ME 04416	President
Jeffrey McKeen	PO Box 158, Bristol, ME 04539	Vice-president
Chris Davis	PO Box 302, Waldoboro, ME 04572	Treasurer

4. List the names and addresses of all directors/members:

Name	Address
N/A	

5. Has the corporation, or	any stockholder,	director, or off	ficer applied for an	n aquaculture lease
for Maine lands in the pas	t? 🛛 Yes 🔲	No		

If you selected "yes," please indicate who applied for the lease and the status of the application or lease.

6. List the names and addresses of all stockholders who own or control at least 5% of the outstanding stock and the percentage of outstanding stock currently owned or controlled by each stockholder.

Name	Address	Percentage of Owned Stock	
Carter R Newell	36 Pond Street, Bucksport, ME 04416	33.33	
Jeffrey McKeen	PO Box 158, Bristol, ME 04539	33.33	
Christopher Davis	PO Box 302, Waldoboro, ME 04572	33.33	

7. List the names and addresses of stockholders, directors, or officers owning an interest, either directly or beneficially, in any other Maine aquaculture leases, as well as the quantity of acreage from existing aquaculture leases attributed to each such person based on the percentage of owned stock listed in question 6. If none, write, "None."

Name	Address	Lease	Acreage
		Acronym	
Carter R Newell	36 Pond Street, Bucksport, ME 04416	DAM GL2	0.61
Jeffrey McKeen	PO Box 158, Bristol, ME 04539	DAM GL2	0.61
Christopher Davis	PO Box 302, Waldoboro, ME 04572	DAM GL2	0.61
Carter R Newell	36 Pond Street, Bucksport, ME 04416	DAM GL3	3.38
Jeffrey McKeen	PO Box 158, Bristol, ME 04539	DAM GL3	3.38
Christopher Davis	PO Box 302, Waldoboro, ME 04572	DAM GL3	3.38
Carter R Newell	36 Pond Street, Bucksport, ME 04416	DAM GL4	2.69
Jeffrey McKeen	PO Box 158, Bristol, ME 04539	DAM GL4	2.69
Christopher Davis	PO Box 302, Waldoboro, ME 04572	DAM GL4	2.69
Carter R Newell	36 Pond Street, Bucksport, ME 04416	DAM CC2	2.33
Jeffrey McKeen	PO Box 158, Bristol, ME 04539	DAM CC2	0.32
Christopher Davis	PO Box 302, Waldoboro, ME 04572	DAM CC2	0.32
Carter R Newell	36 Pond Street, Bucksport, ME 04416	EAST GL	4.64
Jeffrey McKeen	PO Box 158, Bristol, ME 04539	EAST GL	0.64
Christopher Davis	PO Box 302, Waldoboro, ME 04572	EAST GL	0.64

8. Has the corporation or any officer, director, member, or shareholder listed in item 5 above
ever been arrested, indicted, convicted of, or adjudicated to be responsible for any violation of
any marine resources or environmental protection law, whether state or federal?
☐ Yes 図 No
If you selected "yes", please provide details.

**10. TRANSFEROR'S STATEMENT** (if multiple lessees/transferors, provide additional completed signature pages as necessary)

By signing this application, the UNDERSIGNED LESSEE hereby states that they have read and understand the requirements of the Department's statutes and rules governing aquaculture and the transfer application instructions. The undersigned lessee certifies that the following information is true and correct:

- The lease <u>site is in good order and is in compliance</u> with all DMR aquaculture laws and regulations;
- There are no <u>rent</u> bills outstanding;
- The required <u>bond or escrow</u> account is in place and will remain effective until the transferee obtains new coverage; and
- All required <u>reports</u> have been filed.

Print name: Maine Fresh Seafarm, LLC – Carol Jackson (for Peter Fischer)
Title (if corporate applicant): Personal Representative for Refer Fisher Clerk/Registered Transferor's Signature: Carol Jackson Date: 11/29/23
Transferor's Signature: <u>Carol Vackso</u> Date: <u>11/29/23</u>
Print name: Maine Fresh Seafarm, LLC - Martha Barker (for Seth Barker)
Title (if corporate applicant): Personal Representation for Seth Borker, december
Transferor's Signature: Martha Jarka Date: 11/29/23

11. TRANSFEREE'S STATEMENT (if multiple transferees, provide additional completed signature pages as necessary)

By signing this application, the UNDERSIGNED TRANSFEREE hereby states that they have read and understand the requirements of the Department's statutes and rules governing aquaculture and the transfer application instructions. The undersigned transferee hereby certifies that:

- The information in this application is true and correct;
- I understand that I am bound by the requirements of Maine law and DMR's statutes and rules governing aquaculture;
- I understand that I am assuming the existing lease, including any conditions; and

Print name: Christopher V. Davis, Treasurer of Pemaguid Oyster Company, Inc.

• Upon approval of the transfer by DMR I will either open an escrow account or obtain a performance bond, as required by DMR.

#### Pemaquid Oyster Company, Inc. P.O. Box 302 Waldoboro, Maine 04572 207 832-1075

Exclusive purveyors of Pemaquid Oysters®

February 7, 2024

Aquaculture Division Maine DMR State House Station 21 Augusta ME 04333-0021

#### Greetings,

Please find enclosed an application for the transfer of aquaculture lease DAM CC3 from Maine Fresh SeaFarms, LLC to Pemaquid Oyster Company, Inc. As you know, the principals of Maine Fresh SeaFarms (Peter Fischer and Seth Barker) have both passed away and we are working with their spouses (Carol Jackson and Martha Barker) to both enable the transfer as well as ensure that the farm site continues to operate in a safe and sustainable manner until the transfer is complete. Please contact me directly if you have questions about this transfer request.

Sincerely,

Christopher V. Davis, Ph. D.